

1 **Rule 2-211. Compliance with the Code of Judicial Administration and the Code of Judicial**  
2 **Conduct.**

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4 **Intent:**

5 To establish the authority of the presiding officer, the Management Committee and the Council  
6 to take corrective action in the event of non-compliance with this Code or the Code of Judicial  
7 Conduct.

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9 **Applicability:**

10 This rule shall apply to judicial and quasi-judicial officers.

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12 **Statement of the Rule:**

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14 (1) Allegations of failure to comply with the provisions of this Code and the Code of Judicial  
15 Conduct may be reported by any court employee verbally or in writing by any of the following  
16 methods:

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18 (1)(A) By contacting directly any supervisor or member of management with whom the  
19 employee is comfortable reporting such matters;

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21 (1)(B) By contacting any Human Resource representative;

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23 (1)(C) By contacting directly, any member of AOC management, including any court  
24 level administrator;

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26 (1)(D) By contacting the State Court Administrator, Deputy State Court Administrator, or  
27 Assistant State Court Administrator; or

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29 (1)(E) By contacting any commissioner, judge or justice.

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31 (2) Supervisors, members of management, Human Resource representatives, and court level  
32 administrators shall promptly submit allegations reported under paragraph (1) verbally or in  
33 writing to the State Court Administrator, the Deputy State Court Administrator, or Assistant State  
34 Court Administrator.

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36 (3) The State Court Administrator, Deputy State Court Administrator, and Assistant State Court  
37 Administrator shall promptly submit allegations reported under paragraph (2) submitted to the  
38 presiding officer of the Council by Council members, the chairs of the Boards, presiding judges,  
39 the court administrator or the Judicial Conduct Commission.

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41 (42) The presiding officer of the Council, in consultation with the Management Committee, has  
42 the discretion to dismiss the allegations, investigate the allegations, take appropriate corrective  
43 action or submit the matter to the Council for consideration. Where corrective action is taken,  
44 the presiding officer shall report to the Council in executive session the nature of the problem

45 and the corrective action taken. Information which identifies the person who submitted the  
46 | allegation and the individual against whom corrective action is taken may be omitted from the  
47 report.

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49 | ~~(53)~~ The Council shall convene in executive session to review those allegations of non-  
50 | compliance submitted by the presiding officer pursuant to paragraph ~~(42)~~ and, upon a majority  
51 vote, direct dismissal of the allegations, investigation of the allegations, corrective action or  
52 referral to the Judicial Conduct Commission. Allegations of non-compliance shall be referred to  
53 the Conduct Commission only after consideration by the Council and upon a majority vote of its  
54 members.

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56 | ~~(64)~~ The presiding officer of the Council is empowered to implement any corrective action  
57 recommended by the executive management committee or the Council.

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59 | ~~(75)~~ If the allegations involve inappropriate behavior toward the person who submitted the  
60 | allegations, the presiding ~~judge-officer~~ shall notify the person whether corrective action was  
61 taken. The person may ask that any decision made by the presiding officer be reviewed by the  
62 Council.

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64 *Effective May/November 1, 20\_\_*