

**Effective: September 1, 2007. Approved as an expedited amendment under Rule 11-101(6)(F). Subject to further change after the comment period.**

1 Rule 14-414. Certificate of compliance; filing, late, and reinstatement fees;  
2 suspension; reinstatement.

3 (a) Certificate of compliance. On or before January 31 of alternate years, each  
4 lawyer subject to MCLE requirements shall file a certificate of compliance with the  
5 Board, in such form as the Board shall prescribe, evidencing the lawyer's completion of  
6 accredited CLE courses or activities ending the preceding 31st day of December. The  
7 certificate of compliance shall include the title of programs attended, or the audio or  
8 video presentation, the computer interactive telephonic program viewed or listened to,  
9 the sponsoring entity, the number of hours in actual attendance at each program, or the  
10 number of hours of such audio or video presentation, and other information as the  
11 Board shall require.

12 (b) Filing fees, late fees and reinstatement fees.

13 (b)(1) Each lawyer shall pay a filing fee in the amount of ~~\$5~~ \$15 at the time of filing  
14 the certificate of compliance under paragraph (a).

15 (b)(2) Any lawyer who fails to complete the MCLE requirement by the December 31  
16 deadline shall be assessed a ~~\$50~~ \$100 late fee.

17 (b)(3) Lawyers who fail to comply with the MCLE requirements and file within a  
18 reasonable time, as determined by the Board in its discretion, and who are subject to an  
19 administrative suspension pursuant to Rule 14-415, after the late fee has been  
20 assessed ~~may be subject to suspension and \$100 shall be assessed a \$200~~  
21 ~~reinstatement fee in accordance with Rule 14-415~~ plus an additional \$500 fee if the  
22 failure to comply is a repeat violation within the past 5 years.

23 (c) Maintaining proof of compliance. Each lawyer shall maintain proof to substantiate  
24 the information provided on the certificate of compliance which has been filed. The proof  
25 may contain, but is not limited to, certificates of completion or attendance from  
26 sponsors, certificates from course leaders, or materials related to credit. The lawyer  
27 shall retain this proof for a period of four years from the end of the period for which the  
28 Certificate of Compliance is filed. Proof shall be submitted to the Board upon written  
29 request.

30 (d) Failure to provide proof of compliance; rebuttable presumption. Failure by the  
31 lawyer to produce proof of compliance within 15 days after written request by the Board

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32 constitutes a rebuttable presumption that the lawyer has not complied with the MCLE  
33 requirements for the applicable time period.

34 (e) Verification period. The Board may, at any time within four years after the  
35 certificate of compliance has been filed, commence verification proceedings to  
36 determine a lawyer's compliance with this article.

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